

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 8005-1006-1S. PRO  
15535 U.S.P.T.O.  
10/656138  
09/08/03**To the Assistant Commissioner of Patents**

Transmitted herewith for filing is the patent application of:

Inventors: Kimikazu MATSUMOTO and Shinichi NISHIDA

This application is a  continuation  division  continuation-in-part of co-pending Application No. 09/906,073, filed on July 17, 2001, the entire contents of which are hereby incorporated by reference.

**Title: ACTIVE MATRIX LIQUID CRYSTAL DISPLAY HAVING A THIN FILM TRANSISTOR OVER WHICH ALIGNMENT OF LIQUID CRYSTAL MOLECULES DOES NOT CHANGE**

Enclosed are:

- 31 Pages of Specification containing 11 claims  
12 Sheets of formal Drawings  Abstract of the Disclosure  
 Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)  
 Newly executed Oath or Declaration/Power of Attorney  
 Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  
 Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))  
 Applicant claims small entity status under 37 C.F.R. § 1.27  
 Application Data Sheet under 37 C.F.R. § 1.76  
 Assignment Papers (cover sheet & document(s))  
 An assignment to **NEC LCD TECHNOLOGIES, LTD.**  
for parent application no. 09/906,073 was recorded on June 5, 2003 at Reel 013715, Frame 0282-0285  
 Information Disclosure Statement (IDS) w/PTO-1449 -  Copy of IDS citations  
 Preliminary Amendment  
 Itemized Return Receipt Postcard  
 Certified Copy of Priority Document(s)  
 Priority of Japan application No. 2000-216202 filed in Japan on July 17, 2000 is claimed under 35 U.S.C. § 119.  
See attached copy of the Letter claiming priority filed in the prior application on July 17, 2001.  
  
 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  
 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or ii.  paper  
c.  Statement verifying identity of above copies  
 Other Attachment: \_\_\_\_\_

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(continued)

Attorney Docket No. 8005-1006-1

If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part of Prior Appln. # 09/906,073

Prior Application Information: Examiner: H. NGO

Tech Center: 2871

**AMEND THE SPECIFICATION BY INSERTING BEFORE THE FIRST LINE THEREOF THE FOLLOWING PARAGRAPH:**

--This application is a  continuation  division  continuation-in-part of co-pending Application No. 09/906,073, filed on July 17, 2001, the entire contents of which are hereby incorporated by reference.--

--This application is a  continuation  division of co-pending Application No. 09/906,073, filed on July 17, 2001. Application No. 09/906,073 is the national phase of PCT International Application No. PCT/ / filed on under 35 U.S.C. § 371. The entire contents of each of the above-identified applications are hereby incorporated by reference.--

**CORRESPONDENCE INFORMATION**

Customer Number: Bar Code Label:  
000466

**00466**

PATENT TRADEMARK OFFICE

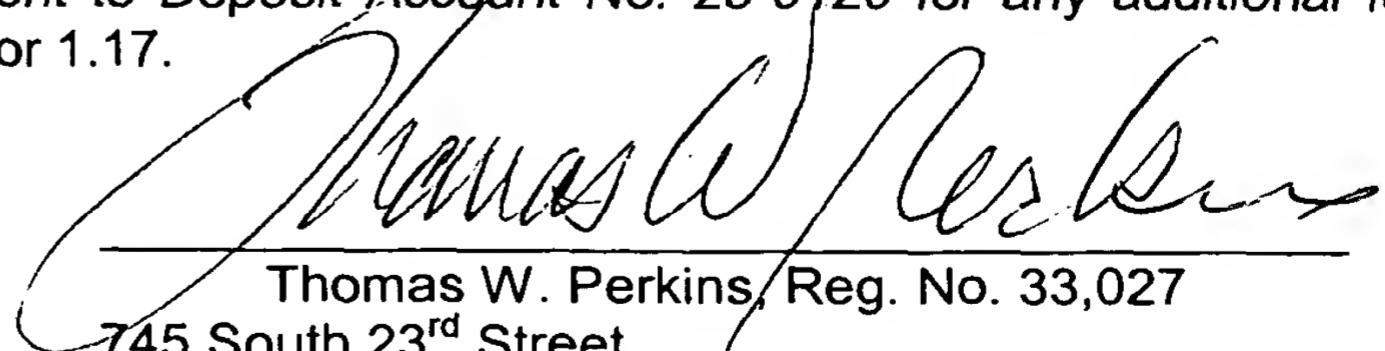
Correspondence Address: Young & Thompson  
745 South 23<sup>rd</sup> Street, Second Floor  
Arlington, VA 22202  
Telephone (703) 521-2297

Facsimile (703) 979-4709

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	<b>SMALL ENTITY</b>		<b>LARGE ENTITY</b>	
			RATE	Fee	Rate	Fee
BASIC FEE				\$375.00		\$750.00
TOTAL CLAIMS	<u>11 - 20 =</u>	<u>  </u>	\$9.00	\$	\$18.00	\$
INDEPENDENT CLAIMS	<u>3 - 3 =</u>	<u>  </u>	\$42.00	\$	\$84.00	\$
MULTIPLE DEPENDENT CLAIM FEE			\$140.00	\$	\$280.00	\$
TOTAL SMALL ENTITY			\$			
TOTAL LARGE ENTITY						<u>\$750.00</u>

- A Check in the amount of 750.00 to cover all fees is attached.
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.



Thomas W. Perkins, Reg. No. 33,027  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297

TWP/maf

Date: September 8, 2003